

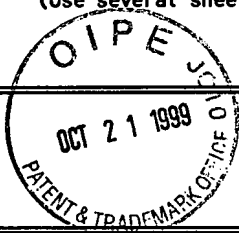
FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. <u>09/363,001</u> NOT YET ASSIGNED
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Rosborough et al.	
		FILING DATE Herewith	GROUP ART 2751 <u>2153</u>

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
L 							

EXAMINER <u>M. M. M.</u>	DATE CONSIDERED <u>12/25/2001</u>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. 09/363,001
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Rosborough	
		FILING DATE July 28, 1999	GROUP ART 2156 2153



U.S. PATENT DOCUMENTS

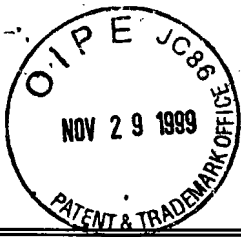
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
MMM	AA	5,838,920	11/17/98	Rosborough	395	200.54	
MMM	AB	5,802,302	9/1/98	Waclawsky et al.	395	200.54	
MMM	AC	5,781,449	7/14/98	Rosborough	364	514	
MMM	AD	5,764,912	6/9/98	Rosborough	395	200.54	
MMM	AE	5,668,801	9/16/97	Grinenfelder	370	253	
MMM	AF	5,627,886	5/6/97	Bowman	379	111	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER M. Mchf	DATE CONSIDERED 12/25/2001
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



SHEET _____ OF _____ 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. 09,363,001
	APPLICANT Rosborough	
	FILING DATE July 28, 1999	GROUP ART 2153

j:\3243\2\4\1\1\1\pto-ids-1449-suppl

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
MMH	AA	5,826,270	10/20/98	Rutkowski et al.	707	10	
MMM	AB	5,706,429	1/6/98	Lai et al.	395	200.01	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER M. Rely	DATE CONSIDERED 12/25/2001
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

j:\3243\2\4\1\1\1\pto-ids-1449-suppl-002



RECEIVED

MAY 4 2001
SHEET 1 OF 1
GROUP 2700

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. 09/363,001
	APPLICANT Rosborough	
	FILING DATE July 28, 1999	GROUP ART 2158 2153

U.S. PATENT DOCUMENTS

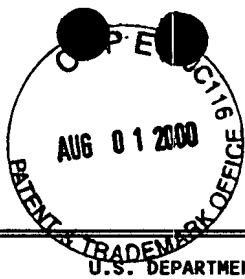
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
MMM	AA	5,911,048	6/8/99	Graf	395	200.54	
MMM	AB	5,619,656	4/8/97	Graf	395	200.11	
MMM	AC	5,430,709	7/4/95	Galloway	370	13	
MMM	AD	5,351,243	9/27/94	Kalkunte et al.	370	92	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER M. Mleky	DATE CONSIDERED 12/25/2004
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. 09/363,001
	APPLICANT Rosborough	
	FILING DATE July 28, 1999	GROUP ART 2758 2153

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
MMM	1	5,946,302	8/31/99	Waclawsky et al.	370	252	
MMM	2	5,563,875	10/8/96	Hefel et al.	370	15	

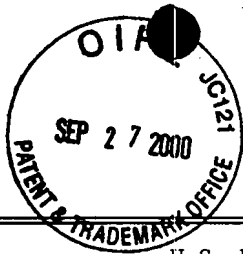
RECEIVED
AUG - 4 2000
MAIL ROOM

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER M. Maly	DATE CONSIDERED 12/25/2001
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



SHEET 1 OF 1

FORM PTO-1449 OF COMMERCE TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	U.S. DEPARTMENT PATENT AND	ATTY. DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. 09/363,001
		APPLICANT Rosborough et al.	
		FILING DATE July 28, 1999	GROUP ART 2153 2153

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
MMM	1	5,754,768	5/19/98	Brech et al.	395	000.6	
MMM	2	5,101,402	3/31/92	Chiu et al.	370	000.6	

RECEIVED
OCT 2 2000
MAIL ROOM

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER M. Maly	DATE CONSIDERED 12/25/2001
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

SHEET 1 OF 1

FORM PTO-1449 OF COMMERCE TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	U.S. DEPARTMENT PATENT AND	ATTY. DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. 09/363,001
		APPLICANT Rosborough et al.	
	FILING DATE July 28, 1999	GROUP ART 2758 2153	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
MMM	1	5,754,768	5/19/98	Brech et al.	395	200.6	
MMM	2	5,101,402	3/31/92	Chiu et al.	370	17	

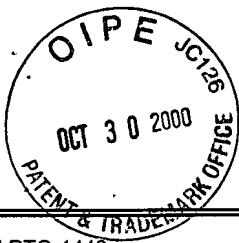
FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

RECEIVED
OCT 16 2000
TC 2700 MAIL ROOM

EXAMINER <i>M. Maly</i>	DATE CONSIDERED <i>12/25/2001</i>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY: DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. 09/363,001
	APPLICANT Rosborough et al.	
	FILING DATE July 28, 1999	GROUP ART 2758

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
MM	1	5,598,535	1/28/97	Brech et al.	395	200.13	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER M. Maly	DATE CONSIDERED 12/25/2001
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. 09/363,001
	APPLICANT Rosborough et al.	
	FILING DATE July 28, 1999	GROUP ART 2735-21

RECEIVED
MAR 28 2001
TECHNOLOGY
OFFICE

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
MMM	1.	* 6,070,190	5/30/00	Reps et al.	709	224	
MMM	2.	* 6,018,619	1/25/00	Allard et al.	395	200.54	
MMM	3.	* 6,006,242	12/21/99	Poole et al.	707	531	

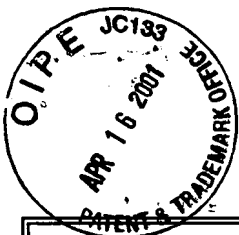
FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

MMM	4.	* Shim et al., 1992, <i>IPCCC</i> , "Specification of Events and States in Complex Systems"; pgs. 0679-0686
MMM	5.	* Lustman, March 1994, <i>IEEE Transactions on Software Engineering</i> , "Specifying Transaction-Based Information Systems with Regular Expressions"; 20(3), pgs. 207-217
MMM	6.	* U.S. Patent Application Serial No. 09/212,836 filed December 16, 1998 Entitled "METHOD AND APPARATUS FOR IDENTIFYING INFORMATIONAL PACKETS"; (Pending)
MMM	7.	* Preliminary Amendment to U.S. Patent Application Serial No. 09/212,836
MMM	8.	* U.S. Patent Application Serial No. 09/132,362 filed August 11, 1998 Entitled "TRANSACTION RECOGNITION AND PREDICTION USING REGULAR EXPRESSIONS"; (Pending)

EXAMINER M. Nely	DATE CONSIDERED 12/25/2001
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 3243-2-4-1-1-1	SERIAL NO. 09/363,001
	APPLICANT Rosborough et al.	
	FILING DATE July 28, 1999	GROUP ART 2758 2153

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
MMM	1.	* 5,881,051	3/9/1999	Arrowood et al.	370	248	
MMM	2.	* 5,684,945	11/4/1997	Chen et al.	395	182.18	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER M. Mchry	DATE CONSIDERED 12/25/2001
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	